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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Application Number		09914279	
	Filing Date		2002-01-09	
	First Named Inventor	O'Hagan et al.		
	Art Unit	1615		
	Examiner Name	Carlos A. Azpuru		
	Attorney Docket Number	PP01573.101		

U.S. PATENTS

Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1	5733877		1998-03-31	Sato et al.	

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/C.A./	1	9014837	WO	A1	1990-12-13	Chiron Corporation		<input type="checkbox"/>
/C.A./	2	9833932	WO	A1	1998-08-06	Korea Institute of Science and Technology		<input type="checkbox"/>
/C.A./	3	9911241	WO	A1	1999-03-11	Smithkline Beecham Biologicals S.A.		<input type="checkbox"/>

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/C.A./	4	9912565	WO	A1	1999-03-18	Smithkline Beecham Biologicals S.A.		<input type="checkbox"/>
/C.A./	5	0006120	WO	A1	2000-12-10	Korea Institute of Science and Technology		<input type="checkbox"/>
/C.A./	6	0050006	WO	A2	2000-08-31	Chiron Corporation		<input type="checkbox"/>

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/C.A./	1	M. Singh et al., "A Comparison of biodegradable microparticles and MF59 as systemic adjuvants for recombinant gD from HSV-2", Vaccine, (1998), Vol. 16, No. 19, Pgs. 1822-1826.	<input type="checkbox"/>
/C.A./	2	A.C. Allison et al., "An adjuvant formulation that selectively elicits the formation of antibodies of protective isotypes and of cell-mediated immunity", Journal of Immunological Methods, (1986), Vol. 95, Pgs. 157-168.	<input type="checkbox"/>
/C.A./	3	Instruction Manual "CAT ELISA", Version March 2005, 22 pages	<input type="checkbox"/>
/C.A./	4	S.W. Yi et al., "Cationic Lipid Emulsion; A Novel, Non-Viral, and Non-Liposomal Gene Delivery System", Proceed. Int'l. Symp. Control. Rel. Bioact. Mater. (1997), Vol. 24, Pgs. 653-654.	<input type="checkbox"/>
/C.A./	5	B.D. Freimark et al., "Cationic Lipids Enhance Cytokine and Cell Influx Levels in the Lung Following Administration of Plasmid: Cationic Lipid Complexes", The Journal of Immunology, (1998), Vol. 160, Pgs., 4580-4586.	<input type="checkbox"/>
/C.A./	6	G. Ott et al., "Design and Evaluation of a Safe and Potent Adjuvant for Human Vaccines", Vaccine Design, (1995), Pgs. 277-295.	<input type="checkbox"/>

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/C.A./	7	D.T. O'Hagan et al., "Poly(lactide-co-glycolide) microparticles for the development of single-dose controlled-release vaccines", Advanced Drug Delivery Reviews, (1998), Vol. 32, Pgs. 225-246.	<input type="checkbox"/>
/C.A./	8	D.T. O'Hagan et al., "Recent advances in vaccine adjuvants: the development of MF59 emulsion and polymeric microparticles", Molecular Medicine Today, February 1997, Pgs. 69-75.	<input type="checkbox"/>
/C.A./	9	M. Singh et al., "The preparation and characterization of polymeric antigen delivery systems for oral administration", Advanced Drug Delivery Reviews, Vol. 34, (1998), Pgs. 285-304.	<input type="checkbox"/>
/C.A./	10	R.T. Lostritto et al., "Theoretical Considerations of Drug Release from Submicron Oil in Water Emulsions", Journal of Parenteral Science & Technology, November-December 1987, Vol. 41, No. 6, Pgs. 214-219.	<input type="checkbox"/>
/C.A./	11	Summons to Attend Oral Proceedings dated October 26, 2007; cited in the counterpart European Patent No. EP1156781B1	<input type="checkbox"/>
/C.A./	12	Opponent GlaxoSmithKline's Submission Before Oral Proceeding Filed on December 14, 2007, cited in the counterpart European Patent No. EP1156781B1	<input type="checkbox"/>
/C.A./	13	Opponent GlaxoSmithKline's Further Submission Before Oral Proceeding Filed on February 19, 2008, cited in the counterpart European Patent No. EP1156781B1	<input type="checkbox"/>
/C.A./	14	Notice of Appeal filed by Opponent GlaxoSmithKline on June 6, 2008; cited in the counterpart European Patent No. EP1156781B1	<input type="checkbox"/>
/C.A./	15	Statement of Grounds of Appeal filed by Opponent GlaxoSmithKline on July 25, 2008; cited in the counterpart European Patent No. EP1156781B1	<input type="checkbox"/>
/C.A./	16	Opponent GlaxoSmithKline's Reply to Proprietor's Grounds of Appeal and cited references Filed on December 19, 2008, cited in the counterpart European Patent No. EP1156781B1	<input type="checkbox"/>
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/C.A./	18	Copy of Certified Provisional Application No. 60/146,391	<input type="checkbox"/>
/C.A./	19	Copy of Certified Provisional Application No. 60/161,997	<input type="checkbox"/>

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Examiner Signature	/Carlos Azpuru/	Date Considered	07/11/2010
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